



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

PATENT ACCOUNTS DIVISION  
MAY 05 2005

In re application of:

Robert H. TILLOTSON

Appln. No. 10/517,662

Confirmation No. 5612

Filed: August 25, 2005

For: LIGHT FITTING

Art Unit: 2875

Examiner: To Be Assigned

Atty. Docket No. 31229-211727

Customer No.

26694

PATENT TRADEMARK OFFICE

2005 MAY -8 PM 4:30

US PATENT & TRADEMARK  
OFFICE

**REQUEST FOR REFUND**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Attention: MAIL STOP REFUNDS**

Sir:

This is a request for a refund, in the amount of \$65.00, which should be applied to Deposit Account No. 22-0261.

On December 13, 2004, Applicant filed a new U.S. National Stage Application, authorizing payment of filing and search fees of \$500 as well as the surcharge fee of \$65 for a late declaration, to be charged to our Deposit-Account No. 22-0261. These fees were deducted from our Deposit Account No. 22-0261 on December 21, 2005. A Notification of Missing Requirements was subsequently mailed on May 25, 2005, requiring the submission of a declaration. The declaration was filed on August 25, 2005, along with a \$60 fee for a one-month extension of time. On August

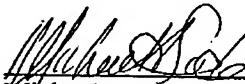
Applicant: Robert H. TILLOTSON  
Appln. No. 10/517,662

31, 2005, the Office charged our Deposit Account No. 22-0261 for the extension fee, along with a second surcharge fee of \$65. This second charge of \$65 is erroneous.

Attached with this filing is a copy of our Deposit Account Statement, showing the two deductions of \$65 on December 21, 2004 and on August 31, 2005. It is respectfully requested that \$65 be credited to our Deposit Account No. 22-0261, and acknowledgement of such credit be issued by the PTO.

Respectfully submitted,

Date: May 5, 2006

  
\_\_\_\_\_  
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Registration No. 41,289  
Kavita B. Lepping  
Registration No. 54,262  
VENABLE LLP  
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Washington, D.C. 20043-9998  
Telephone: (202) 344-4000  
Telefax : (202) 344-8300

MAS:KBL/SJB  
#743944

2005

|       |      |          |                 |      |            |             |
|-------|------|----------|-----------------|------|------------|-------------|
| 08/30 | 299  | 10464555 | 31794-190127 RK | 1504 | \$300.00   | \$74,287.06 |
| 08/30 | 974  | 78703013 | 57793-222701    | 7001 | \$325.00   | \$73,962.06 |
| 08/30 | 979  | 78703015 | 57793-222699    | 7001 | \$325.00   | \$73,637.06 |
| 08/30 | 983  | 78703017 | 57793-222700    | 7001 | \$325.00   | \$73,312.06 |
| 08/30 | 1382 | 78703167 | 43899-222779    | 7001 | \$975.00   | \$72,337.06 |
| 08/30 | 1632 | 78703287 | 32140-222273    | 7001 | \$975.00   | \$71,362.06 |
| 08/31 | 1    | 10666547 | 36507-193186    | 1806 | \$180.00   | \$71,182.06 |
| 08/31 | 2    | 10666547 | 36507-193186    | 1814 | \$130.00   | \$71,052.06 |
| 08/31 | 4    | 1952809  |                 | 6205 | \$100.00   | \$70,952.06 |
| 08/31 | 5    | 1952809  |                 | 6201 | \$400.00   | \$70,552.06 |
| 08/31 | 6    | 78286737 |                 | 6402 | \$900.00   | \$69,652.06 |
| 08/31 | 11   | 11212882 | 31794-222327 RK | 1011 | \$300.00   | \$69,352.06 |
| 08/31 | 12   | 11212882 | 31794-222327 RK | 1111 | \$500.00   | \$68,852.06 |
| 08/31 | 13   | 11212882 | 31794-222327 RK | 1311 | \$200.00   | \$68,652.06 |
| 08/31 | 17   | 11212880 | 32405-218549    | 2011 | \$150.00   | \$68,502.06 |
| 08/31 | 17   | 6399188  |                 | 1551 | \$900.00   | \$67,602.06 |
| 08/31 | 18   | 11212880 | 32405-218549    | 2111 | \$250.00   | \$67,352.06 |
| 08/31 | 18   | 5760399  |                 | 1552 | \$2,300.00 | \$65,052.06 |
| 08/31 | 19   | 11212880 | 32405-218549    | 2311 | \$100.00   | \$64,952.06 |
| 08/31 | 20   | 11212880 | 32405-218549    | 2201 | \$400.00   | \$64,552.06 |
| 08/31 | 21   | 11212880 | 32405-218549    | 2202 | \$825.00   | \$63,727.06 |
| 08/31 | 41   | 76568607 | 39281-179697    | 6006 | \$100.00   | \$63,627.06 |
| 08/31 | 42   | 76568607 | 39281-179697    | 6002 | \$300.00   | \$63,327.06 |
| 08/31 | 59   | 29208840 | 43315-204942    | 8021 | \$40.00    | \$63,287.06 |
| 08/31 | 62   | 1916551  |                 | 6205 | \$100.00   | \$63,187.06 |
| 08/31 | 63   | 1916551  |                 | 6201 | \$400.00   | \$62,787.06 |
| 08/31 | 64   | 1975673  |                 | 6205 | \$100.00   | \$62,687.06 |
| 08/31 | 65   | 1975673  |                 | 6201 | \$400.00   | \$62,287.06 |
| 08/31 | 86   | 29237015 | 29953-216728    | 8021 | \$40.00    | \$62,247.06 |
| 08/31 | 91   | 11211730 | 31794-220567 RK | 8021 | \$40.00    | \$62,207.06 |
| 08/31 | 94   | 5908958  |                 | 8021 | \$40.00    | \$62,167.06 |
| 08/31 | 95   | 6141611  | 36944-162777    | 8021 | \$40.00    | \$62,127.06 |
| 08/31 | 98   | 11211532 | 29953-216716    | 8021 | \$40.00    | \$62,087.06 |
| 08/31 | 119  | 6415678  |                 | 1551 | \$900.00   | \$61,187.06 |
| 08/31 | 120  | 6389921  |                 | 1551 | \$900.00   | \$60,287.06 |
| 08/31 | 140  | 09878923 | 28549165405     | 2252 | \$225.00   | \$60,062.06 |
| 08/31 | 145  | 09809036 | 37122-167615    | 2401 | \$250.00   | \$59,812.06 |
| 08/31 | 146  | 09809036 | 37122-167615    | 1806 | \$180.00   | \$59,632.06 |
| 08/31 | 147  | 09809036 | 37122-167615    | 2252 | \$225.00   | \$59,407.06 |
| 08/31 | 154  | 10994237 |                 | 1464 | \$130.00   | \$59,277.06 |
| 08/31 | 213  | 10546639 | 37114-222522 RK | 2631 | \$150.00   | \$59,127.06 |
| 08/31 | 214  | 10546639 | 37114-222522 RK | 2633 | \$100.00   | \$59,027.06 |
| 08/31 | 215  | 10546639 | 37114-222522 RK | 2632 | \$250.00   | \$58,777.06 |
| 08/31 | 216  | 10546639 | 37114-222522 RK | 2615 | \$200.00   | \$58,577.06 |
| 08/31 | 229  | 76573955 | 31929-200773    | 6003 | \$100.00   | \$58,477.06 |
| 08/31 | 282  | 10530517 | 43315-216724    | 8021 | \$40.00    | \$58,437.06 |
| 08/31 | 359  | 10725077 | 19877.0026      | 1501 | \$1,400.00 | \$57,037.06 |
| 08/31 | 360  | 10725077 | 19877.0026      | 1504 | \$300.00   | \$56,737.06 |
| 08/31 | 401  | 10517662 | 31229-211727    | 2617 | \$65.00    | \$56,672.06 |
| 08/31 | 402  | 10517662 | 31229-211727    | 2251 | \$60.00    | \$56,612.06 |

|       |      |            |              |      |              |             |
|-------|------|------------|--------------|------|--------------|-------------|
| 12/21 | 280  | 10517678   | 31608-210847 | 1633 | \$200.00     | \$57,181.06 |
| 12/21 | 281  | 10517678   | 31608-210847 | 1616 | \$360.00     | \$56,821.06 |
| 12/21 | 282  | 10517678   | 31608-210847 | 1618 | \$130.00     | \$56,691.06 |
| 12/21 | 283  | 10517678   | 31608-210847 | 8021 | \$40.00      | \$56,651.06 |
| 12/21 | 329  | 10517662   | 31229-211727 | 2631 | \$150.00     | \$56,501.06 |
| 12/21 | 330  | 10517662   | 31229-211727 | 2632 | \$250.00     | \$56,251.06 |
| 12/21 | 331  | 10517662   | 31229-211727 | 2633 | \$100.00     | \$56,151.06 |
| 12/21 | 332  | 10517662   | 31229-211727 | 2617 | \$65.00      | \$56,086.06 |
| 12/21 | 387  | 10517843   | 36881-188775 | 1631 | \$300.00     | \$55,786.06 |
| 12/21 | 388  | 10517843   | 36881-188775 | 1632 | \$500.00     | \$55,286.06 |
| 12/21 | 389  | 10517843   | 36881-188775 | 1633 | \$200.00     | \$55,086.06 |
| 12/21 | 390  | 10517843   | 36881-188775 | 1615 | \$50.00      | \$55,036.06 |
| 12/21 | 391  | 10517843   | 36881-188775 | 1614 | \$200.00     | \$54,836.06 |
| 12/21 | 392  | 10517842   | 32128-211698 | 1631 | \$300.00     | \$54,536.06 |
| 12/21 | 393  | 10517842   | 32128-211698 | 1632 | \$500.00     | \$54,036.06 |
| 12/21 | 394  | 10517842   | 32128-211698 | 1633 | \$200.00     | \$53,836.06 |
| 12/21 | 395  | 10517842   | 32128-211698 | 8021 | \$40.00      | \$53,796.06 |
| 12/21 | 882  | 75923720   | 24301-157142 | 7003 | \$100.00     | \$53,696.06 |
| 12/22 | 10   | 76624209   | 40623-211889 | 6001 | \$335.00     | \$53,361.06 |
| 12/22 | 11   | 76624210   | 26224-211602 | 6001 | \$335.00     | \$53,026.06 |
| 12/22 | 13   | 76624212   | 26224-211603 | 6001 | \$335.00     | \$52,691.06 |
| 12/22 | 46   | 6411941    | 46594-207530 | 8021 | \$40.00      | \$52,651.06 |
| 12/22 | 72   | 2785973    | 28657-184894 | 8521 | \$40.00      | \$52,611.06 |
| 12/22 | 108  | DONELSON P |              | 8019 | \$50.00      | \$52,561.06 |
| 12/22 | 149  | 76468074   | 40845-184034 | 6004 | \$150.00     | \$52,411.06 |
| 12/22 | 180  | 60637053   | 36771-162182 | 2005 | \$100.00     | \$52,311.06 |
| 12/22 | 181  | 60637054   | 50718-211689 | 2005 | \$100.00     | \$52,211.06 |
| 12/22 | 190  | 10518006   | 43289-211640 | 2631 | \$150.00     | \$52,061.06 |
| 12/22 | 191  | 10518006   | 43289-211640 | 2632 | \$250.00     | \$51,811.06 |
| 12/22 | 192  | 10518006   | 43289-211640 | 2633 | \$100.00     | \$51,711.06 |
| 12/22 | 193  | 10518006   | 43289-211640 | 8021 | \$40.00      | \$51,671.06 |
| 12/22 | 359  | 10364499   | 41345-186714 | 2501 | \$700.00     | \$50,971.06 |
| 12/22 | 360  | 10364499   | 41345-186714 | 1504 | \$300.00     | \$50,671.06 |
| 12/22 | 361  | 10372410   | 32307-187449 | 1501 | \$1,400.00   | \$49,271.06 |
| 12/22 | 362  | 10372410   | 32307-187449 | 1504 | \$300.00     | \$48,971.06 |
| 12/22 | 363  | 10166055   | 32307-181671 | 1501 | \$1,400.00   | \$47,571.06 |
| 12/22 | 364  | 10166055   | 32307-181671 | 1501 | \$1,400.00   | \$47,271.06 |
| 12/22 | 1062 | 78400826   |              | 8521 | \$300.00     | \$47,271.06 |
| 12/22 | 1063 | 78400874   |              | 8521 | \$40.00      | \$47,231.06 |
| 12/22 | 1064 | 78095907   |              | 8522 | \$25.00      | \$47,206.06 |
| 12/23 | 8    | 76624475   |              | 8522 | \$25.00      | \$47,181.06 |
| 12/23 | 9    | 76624476   | 44944-211901 | 6001 | \$335.00     | \$46,846.06 |
| 12/23 | 10   | 76624477   | 41713-211935 | 6001 | \$335.00     | \$46,511.06 |
| 12/23 | 11   | 76624478   | 35297/211712 | 6001 | \$335.00     | \$46,176.06 |
| 12/23 | 33   | PAYMENT    | 44944-211899 | 6001 | \$335.00     | \$45,841.06 |
| 12/23 | 42   | 09658948   | 9203         |      | -\$43,720.00 | \$89,561.06 |
| 12/23 | 43   | 09658948   | 32305-166152 | 1401 | \$500.00     | \$89,061.06 |
| 12/23 | 52   | 76624549   | 32305-166152 | 1252 | \$450.00     | \$88,611.06 |
| 12/23 | 69   | 29219571   | 21188-106718 | 6001 | \$335.00     | \$88,276.06 |
| 12/23 | 70   | 29219571   | 29953-192667 | 1012 | \$200.00     | \$88,076.06 |
| 12/23 | 71   | 29219571   | 29953-192667 | 1112 | \$100.00     | \$87,976.06 |
|       |      |            | 29953-192667 | 1312 | \$130.00     | \$87,846.06 |

2004

2004

|       |      |            |              |      |              |             |
|-------|------|------------|--------------|------|--------------|-------------|
| 12/21 | 280  | 10517678   | 31608-210847 | 1633 | \$200.00     | \$57,181.06 |
| 12/21 | 281  | 10517678   | 31608-210847 | 1616 | \$360.00     | \$56,821.06 |
| 12/21 | 282  | 10517678   | 31608-210847 | 1618 | \$130.00     | \$56,691.06 |
| 12/21 | 283  | 10517678   | 31608-210847 | 8021 | \$40.00      | \$56,651.06 |
| 12/21 | 329  | 10517662   | 31229-211727 | 2631 | \$150.00     | \$56,501.06 |
| 12/21 | 330  | 10517662   | 31229-211727 | 2632 | \$250.00     | \$56,251.06 |
| 12/21 | 331  | 10517662   | 31229-211727 | 2633 | \$100.00     | \$56,151.06 |
| 12/21 | 332  | 10517662   | 31229-211727 | 2617 | \$65.00      | \$56,086.06 |
| 12/21 | 387  | 10517843   | 36881-188775 | 1631 | \$300.00     | \$55,786.06 |
| 12/21 | 388  | 10517843   | 36881-188775 | 1632 | \$500.00     | \$55,286.06 |
| 12/21 | 389  | 10517843   | 36881-188775 | 1633 | \$200.00     | \$55,086.06 |
| 12/21 | 390  | 10517843   | 36881-188775 | 1615 | \$50.00      | \$55,036.06 |
| 12/21 | 391  | 10517843   | 36881-188775 | 1614 | \$200.00     | \$54,836.06 |
| 12/21 | 392  | 10517842   | 32128-211698 | 1631 | \$300.00     | \$54,536.06 |
| 12/21 | 393  | 10517842   | 32128-211698 | 1632 | \$500.00     | \$54,036.06 |
| 12/21 | 394  | 10517842   | 32128-211698 | 1633 | \$200.00     | \$53,836.06 |
| 12/21 | 395  | 10517842   | 32128-211698 | 8021 | \$40.00      | \$53,796.06 |
| 12/21 | 882  | 75923720   | 24301-157142 | 7003 | \$100.00     | \$53,696.06 |
| 12/22 | 10   | 76624209   | 40623-211889 | 6001 | \$335.00     | \$53,361.06 |
| 12/22 | 11   | 76624210   | 26224-211602 | 6001 | \$335.00     | \$53,026.06 |
| 12/22 | 13   | 76624212   | 26224-211603 | 6001 | \$335.00     | \$52,691.06 |
| 12/22 | 46   | 6411941    | 46594-207530 | 8021 | \$40.00      | \$52,651.06 |
| 12/22 | 72   | 2785973    | 28657-184894 | 8521 | \$40.00      | \$52,611.06 |
| 12/22 | 108  | DONELSON P |              | 8019 | \$50.00      | \$52,561.06 |
| 12/22 | 149  | 76468074   | 40845-184034 | 6004 | \$150.00     | \$52,411.06 |
| 12/22 | 180  | 60637053   | 36771-162182 | 2005 | \$100.00     | \$52,311.06 |
| 12/22 | 181  | 60637054   | 50718-211689 | 2005 | \$100.00     | \$52,211.06 |
| 12/22 | 190  | 10518006   | 43289-211640 | 2631 | \$150.00     | \$52,061.06 |
| 12/22 | 191  | 10518006   | 43289-211640 | 2632 | \$250.00     | \$51,811.06 |
| 12/22 | 192  | 10518006   | 43289-211640 | 2633 | \$100.00     | \$51,711.06 |
| 12/22 | 193  | 10518006   | 43289-211640 | 8021 | \$40.00      | \$51,671.06 |
| 12/22 | 359  | 10364499   | 41345-186714 | 2501 | \$700.00     | \$50,971.06 |
| 12/22 | 360  | 10364499   | 41345-186714 | 1504 | \$300.00     | \$50,671.06 |
| 12/22 | 361  | 10372410   | 32307-187449 | 1501 | \$1,400.00   | \$49,271.06 |
| 12/22 | 362  | 10372410   | 32307-187449 | 1504 | \$300.00     | \$48,971.06 |
| 12/22 | 363  | 10166055   | 32307-181671 | 1501 | \$1,400.00   | \$47,571.06 |
| 12/22 | 364  | 10166055   | 32307-181671 | 1504 | \$300.00     | \$47,271.06 |
| 12/22 | 1062 | 78400826   |              | 8521 | \$40.00      | \$47,231.06 |
| 12/22 | 1063 | 78400874   |              | 8522 | \$25.00      | \$47,206.06 |
| 12/22 | 1064 | 78095907   |              | 8522 | \$25.00      | \$47,181.06 |
| 12/23 | 8    | 76624475   | 44944-211901 | 6001 | \$335.00     | \$46,846.06 |
| 12/23 | 9    | 76624476   | 41713-211935 | 6001 | \$335.00     | \$46,511.06 |
| 12/23 | 10   | 76624477   | 35297-211712 | 6001 | \$335.00     | \$46,176.06 |
| 12/23 | 11   | 76624478   | 44944-211899 | 6001 | \$335.00     | \$45,841.06 |
| 12/23 | 33   | PAYMENT    |              | 9203 | -\$43,720.00 | \$89,561.06 |
| 12/23 | 42   | 09658948   | 32305-166152 | 1401 | \$500.00     | \$89,061.06 |
| 12/23 | 43   | 09658948   | 32305-166152 | 1252 | \$450.00     | \$88,611.06 |
| 12/23 | 52   | 76624549   | 21188-106718 | 6001 | \$335.00     | \$88,276.06 |
| 12/23 | 69   | 29219571   | 29953-192667 | 1012 | \$200.00     | \$88,076.06 |
| 12/23 | 70   | 29219571   | 29953-192667 | 1112 | \$100.00     | \$87,976.06 |
| 12/23 | 71   | 29219571   | 29953-192667 | 1312 | \$130.00     | \$87,846.06 |

# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

MAY 05 2006

TOTAL AMOUNT OF PAYMENT

(\$)

170

DRAFT &amp; DRAFTSMANSHIP CO.

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 10/517,862          |
| Filing Date          | December 13, 2004   |
| First Named Inventor | Robert H. TILLOTSON |
| Examiner Name        | Not Yet Assigned    |
| Group / Art Unit     | Not Yet Assigned    |
| Attorney Docket No.  | 31229-211727        |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

22-0261

Deposit Account Name

Venable LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check     Credit card     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description                 | Fee Paid |
|-----------------------|-----------------------|---------------------------------|----------|
| 1011                  | 300                   | 2011 150 Utility filing fee     |          |
| 1012                  | 200                   | 2012 100 Design filing fee      |          |
| 1013                  | 200                   | 2013 100 Plant filing fee       |          |
| 1014                  | 300                   | 2014 150 Reissue filing fee     |          |
| 1005                  | 200                   | 2005 100 Provisional filing fee |          |
| 1081                  | 250                   | 2081 125 Utility App. Size Fee  |          |
| 1082                  | 250                   | 2082 125 Design App. Size Fee   |          |
| 1083                  | 250                   | 2083 125 Plant App. Size Fee    |          |
| 1084                  | 250                   | 2084 125 Reissue App. Size Fee  |          |
| 1085                  | 250                   | 2085 125 Prov. App. Size Fee    |          |

SUBTOTAL (1)

(\$0)

## 2. EXTRA CLAIM FEES

| Total Claims       | -20** | = | Extra Claims | Fee from below | Fee Paid |
|--------------------|-------|---|--------------|----------------|----------|
| Independent Claims | -3**  | = |              | X              |          |
| Multiple Dependent |       |   |              | X              |          |

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|--|
| 1202                  | 50                    | 2202 25 Claims in excess of 20                                     |
| 1201                  | 200                   | 2201 100 Independent claims in excess of 3                         |
| 1203                  | 360                   | 2204 180 Multiple dependent claim, if not paid                     |
| 1204                  | 200                   | 2204 100 ** Reissue independent claims in excess of three          |
| 1205                  | 50                    | 2205 25 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

## FEE CALCULATION (continued)

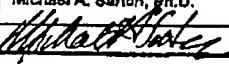
| Fee Code            | Large Entity Fee (\$) | Small Entity Fee (\$)  | Fee Description | Fee Paid |
|---------------------|-----------------------|--|-----------------|----------|
| 1111                | 500                   | 2111 250 Utility Search Fee  |                 |          |
| 1112                | 100                   | 2112 50 Design Search Fee  |                 |          |
| 1113                | 300                   | 2113 150 Plant Search Fee  |                 |          |
| 1114                | 500                   | 2114 250 Reissue Search Fee  |                 |          |
| 1311                | 200                   | 2311 100 Utility Examination Fee   |                 |          |
| 1312                | 130                   | 2312 65 Design Examination Fee   |                 |          |
| 1313                | 180                   | 2313 80 Plant Examination Fee  |                 |          |
| 1314                | 600                   | 2314 300 Reissue Examination Fee   |                 |          |
| 1051                | 130                   | 2051 65 Surcharge - late filing fee or oath  |                 | \$65.00  |
| 1052                | 50                    | 2052 25 Surcharge - late provisional filing fee or cover sheet                     |                 |          |
| 1053                | 130                   | 1053 130 Non-English specification   |                 |          |
| 1812                | 2,520                 | 1812 2,520 For filing a request for reexamination                                  |                 |          |
| 1804                | 820*                  | 1804 820 Requesting publication of SIR prior to Examiner action                    |                 |          |
| 1805                | 1,840*                | 1805 1,840 Requesting publication of SIR after Examiner action                     |                 |          |
| 1251                | 120                   | 2215 60 Extension for reply within first month                                     |                 | 60.00    |
| 1252                | 450                   | 2252 225 Extension for reply within second month                                   |                 |          |
| 1253                | 1,020                 | 2253 610 Extension for reply within third month                                    |                 |          |
| 1254                | 1,580                 | 2254 785 Extension for reply within fourth month                                   |                 |          |
| 1266                | 2,180                 | 2255 1080 Extension for reply within fifth month                                   |                 |          |
| 1401                | 500                   | 2401 250 Notice of Appeal  |                 |          |
| 1402                | 500                   | 2402 250 Filing a brief in support of an appeal                                    |                 |          |
| 1403                | 1,000                 | 2403 500 Request for oral hearing  |                 |          |
| 1451                | 1,510                 | 1431 1,510 Petition to institute a public use proceeding                           |                 |          |
| 1452                | 500                   | 2452 250 Petition to revive - unavoidable  |                 |          |
| 1453                | 1,500                 | 2453 750 Petition to revive - unintentional  |                 |          |
| 1501                | 1,400                 | 2501 700 Utility issue fee (or reissue)  |                 |          |
| 1502                | 800                   | 2502 400 Design issue fee  |                 |          |
| 1503                | 1,100                 | 2503 550 Plant issue fee   |                 |          |
| 1807                | 60                    | 1807 50 Processing fee under 37 CFR 1.17 (q)                                       |                 |          |
| 1808                | 180                   | 1808 180 Submission of Information Disclosure Stmt                                 |                 |          |
| 8021                | 40                    | 8021 40 Recording each patent assignment per property (times number of properties) |                 |          |
| 1809                | 780                   | 2809 385 Filing a submission after final rejection (37 CFR § 1.129(e))             |                 |          |
| 1810                | 780                   | 2810 385 For each additional invention to be examined (37 CFR § 1.129(b))          |                 |          |
| 1801                | 780                   | 2801 395 Request for Continued Examination (RCE)                                   |                 |          |
| Other fee (specify) |                       |  |                 |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$125.00)

\*\*Or number previously paid, if greater; For Reissues, see above

| SUBMITTED BY      |   | Complete if Applicable |          |
|-------------------|---|------------------------|----------|
| Name (Print/Type) | Michael A. Santori, Ph.D.   | Reg No. Attorney/Agent | 41,269   |
| Signature         |  | Date                   | 08/25/05 |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.

**PATENT PROSECUTION RECEIPT OF FILING**

128240

Venable Filing Number

Atty. Docket No: 31229-211727

Title of Application: LIGHT FITTING

Application No: 10/517,662

Patent No. :

|               |                   |
|---------------|-------------------|
| Attorney/LAA: | MAS/bap           |
| PTO Due Date: | August 25, 2005   |
| Current Date: | August 25, 2005   |
| Filing Date:  | December 13, 2004 |
| Issue Date:   |                   |

The following items were received from Venable LLP, Washington, D.C., by the U.S. Patent & Trademark Office on the date stamped hereon:

- Transmittal Letter
- Fee Transmittal Letter
- New U.S. Provisional Application (      pages of specification/claims)
- Rule 53(d) Continued Prosecution Application
- Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings and declaration*)
- U.S. National Stage Application of PCT Application
- Request for Continued Examination (RCE) under 37 CFR 1.114
- Response
- Substitute Specification
- Priority Document-Cert. Copy of Appln.#: ; Country
- Date Filed:
- Formal Drawings (      sheets,      Figs.)
- Inventor Declaration
- Assignment w/Cover Sheet
- Response to Notice to File Missing Parts
- Response to Notice to File Missing Requirements
- Response to Restriction Requirement
- Information Disclosure Statement with      cited references
- Response
- Amendment/ Preliminary Amendment
- Petition/Request for Extension of Time (    1    mo. ext.)
- Power of Attorney
- Petition to Revive
- Sequence Listing – CDR Enclosed?  Yes  No
- Request for Non-Publication
- Request to Rescind Non-Publication Request
- Terminal Disclaimer
- Notice of Appeal
- Appeal Brief (*in triplicate*)
- Reply Brief (*in triplicate*)/  Request for Oral Hearing
- Confirmation of Hearing Petition
- Issue Fee Transmittal
- Certificate of Correction
- Maintenance Fee Transmittal
- Status Inquiry
- Other: (*Please describe below*)  
Copy of Notification of Missing Requirements

Reviewed By:

*Michael R. B.*  
Signature of Attorney

#676188 v1 - 31229-211727 (Yellow Transmittal for Notice to File Missing Parts)

**U.S. PTO FEES ENCLOSED**

Filing Fee

\$65.00 Surcharge Fee

Additional Claim Fee

Recordation/Indexing Fee

IDS Fee

\$60.00 Extension Fee

Notice of Appeal Fee

Brief on Appeal

Oral Hearing Request Fee

Petition Fee

Issue Fee

Publication Fee

Maintenance Fee

Other Fees (Describe)



\$125.00 **Total Fees Paid**

Check Number

Attached

Charge Deposit Account No.  
22-0261\*

\*If the Deposit Account was used, was a copy of this form sent to Accounting?

Yes  No

August 25, 2005

Date

**RGC**

DEP &amp; REF

Please type a plus sign (+) inside this box → 

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**TRANSMITTAL  
FORM**

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/517,662          |
| Filing Date            | August 25, 2005     |
| First Named Inventor   | Robert H. TILLOTSON |
| Group Art Unit         | 2875                |
| Examiner Name          | To Be Assigned      |
| Attorney Docket Number | 31229-211727        |

## ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Assignment & Recordation Sheet                                 | <input type="checkbox"/> After Allowance Communication to Group                     |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Declaration & Power of Attorney  | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s)<br><i>(please identify below):</i>      |
| <input type="checkbox"/> Information Disclosure Statement; Form PTO/SB/08A  | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input checked="" type="checkbox"/> Request for Refund (w/Copy of 2 pages from PTO website re charges to our Deposit Account; Copy of Notification of Missing Requirements mailed 5/25/05; Copy of Fee Transmittal filed on 8/25/05; and Copy of PTO Date-Stamped Receipt). | Remarks   |   |
| <input type="checkbox"/> Preliminary Amendment  |   |   |
| <input type="checkbox"/> Copy of Response to Notification of Missing Requirements   |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |                                 |
|-------------------------|---|---------------------------------|
| Firm or Individual name | Michael A. Sartori, Ph.D.<br>Reg. No. 41,289  | 26694<br>ATENT TRADEMARK OFFICE |
| Signature               |  |                                 |
| Date                    | May 5, 2006   |                                 |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date:

|                       |  |      |  |
|-----------------------|--|------|--|
| Typed or printed name |  |      |  |
| Signature             |  | Date |  |

**VENABLE**  
ATTORNEYS AT LAW

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, 22313-1450.

#748978

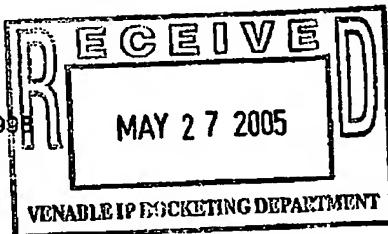


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/517,662                  | Robert H TILLOTSON    | 31229-211727     |

26694  
 VENABLE LLP  
 P.O. BOX 34385  
 WASHINGTON, DC 20045-9998



| INTERNATIONAL APPLICATION NO. |               |
|-------------------------------|---------------|
| PCT/GB03/02537                |               |
| I.A. FILING DATE              | PRIORITY DATE |
| 06/12/2003                    | 06/13/2002    |

CONFIRMATION NO. 5612  
 371 FORMALITIES LETTER  
  
 \*OC000000016044630\*

Date Mailed: 05/25/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/13/2004
- Copy of the International Search Report filed on 12/13/2004
- Copy of IPE Report filed on 12/13/2004
- Copy of Annexes to the IPER filed on 12/13/2004
- Information Disclosure Statements filed on 12/13/2004
- U.S. Basic National Fees filed on 12/13/2004
- Priority Documents filed on 12/13/2004

**DATES DOCKETED**

*Missing requirements*  
 DUE: 7/25/05  
 REC'D: 12/13/05  
 DKTED BY: RAN

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

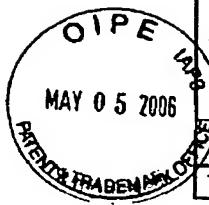
| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/517,662                  | PCT/GB03/02537                | 31229-211727     |

FORM PCT/DO/EO/905 (371 Formalities Notice)

|       |      |          |                 |      |            |             |
|-------|------|----------|-----------------|------|------------|-------------|
| 08/30 | 299  | 10464555 | 31794-190127 RK | 1504 | \$300.00   | \$74,287.06 |
| 08/30 | 974  | 78703013 | 57793-222701    | 7001 | \$325.00   | \$73,962.06 |
| 08/30 | 979  | 78703015 | 57793-222699    | 7001 | \$325.00   | \$73,637.06 |
| 08/30 | 983  | 78703017 | 57793-222700    | 7001 | \$325.00   | \$73,312.06 |
| 08/30 | 1382 | 78703167 | 43899-222779    | 7001 | \$975.00   | \$72,337.06 |
| 08/30 | 1632 | 78703287 | 32140-222273    | 7001 | \$975.00   | \$71,362.06 |
| 08/31 | 1    | 10666547 | 36507-193186    | 1806 | \$180.00   | \$71,182.06 |
| 08/31 | 2    | 10666547 | 36507-193186    | 1814 | \$130.00   | \$71,052.06 |
| 08/31 | 4    | 1952809  |                 | 6205 | \$100.00   | \$70,952.06 |
| 08/31 | 5    | 1952809  |                 | 6201 | \$400.00   | \$70,552.06 |
| 08/31 | 6    | 78286737 |                 | 6402 | \$900.00   | \$69,652.06 |
| 08/31 | 11   | 11212882 | 31794-222327 RK | 1011 | \$300.00   | \$69,352.06 |
| 08/31 | 12   | 11212882 | 31794-222327 RK | 1111 | \$500.00   | \$68,852.06 |
| 08/31 | 13   | 11212882 | 31794-222327 RK | 1311 | \$200.00   | \$68,652.06 |
| 08/31 | 17   | 11212880 | 32405-218549    | 2011 | \$150.00   | \$68,502.06 |
| 08/31 | 17   | 6399188  |                 | 1551 | \$900.00   | \$67,602.06 |
| 08/31 | 18   | 11212880 | 32405-218549    | 2111 | \$250.00   | \$67,352.06 |
| 08/31 | 18   | 5760399  |                 | 1552 | \$2,300.00 | \$65,052.06 |
| 08/31 | 19   | 11212880 | 32405-218549    | 2311 | \$100.00   | \$64,952.06 |
| 08/31 | 20   | 11212880 | 32405-218549    | 2201 | \$400.00   | \$64,552.06 |
| 08/31 | 21   | 11212880 | 32405-218549    | 2202 | \$825.00   | \$63,727.06 |
| 08/31 | 41   | 76568607 | 39281-179697    | 6006 | \$100.00   | \$63,627.06 |
| 08/31 | 42   | 76568607 | 39281-179697    | 6002 | \$300.00   | \$63,327.06 |
| 08/31 | 59   | 29208840 | 43315-204942    | 8021 | \$40.00    | \$63,287.06 |
| 08/31 | 62   | 1916551  |                 | 6205 | \$100.00   | \$63,187.06 |
| 08/31 | 63   | 1916551  |                 | 6201 | \$400.00   | \$62,787.06 |
| 08/31 | 64   | 1975673  |                 | 6205 | \$100.00   | \$62,687.06 |
| 08/31 | 65   | 1975673  |                 | 6201 | \$400.00   | \$62,287.06 |
| 08/31 | 86   | 29237015 | 29953-216728    | 8021 | \$40.00    | \$62,247.06 |
| 08/31 | 91   | 11211730 | 31794-220567 RK | 8021 | \$40.00    | \$62,207.06 |
| 08/31 | 94   | 5908958  |                 | 8021 | \$40.00    | \$62,167.06 |
| 08/31 | 95   | 6141611  | 36944-162777    | 8021 | \$40.00    | \$62,127.06 |
| 08/31 | 98   | 11211532 | 29953-216716    | 8021 | \$40.00    | \$62,087.06 |
| 08/31 | 119  | 6415678  |                 | 1551 | \$900.00   | \$61,187.06 |
| 08/31 | 120  | 6389921  |                 | 1551 | \$900.00   | \$60,287.06 |
| 08/31 | 140  | 09878923 | 28549165405     | 2252 | \$225.00   | \$60,062.06 |
| 08/31 | 145  | 09809036 | 37122-167615    | 2401 | \$250.00   | \$59,812.06 |
| 08/31 | 146  | 09809036 | 37122-167615    | 1806 | \$180.00   | \$59,632.06 |
| 08/31 | 147  | 09809036 | 37122-167615    | 2252 | \$225.00   | \$59,407.06 |
| 08/31 | 154  | 10994237 |                 | 1464 | \$130.00   | \$59,277.06 |
| 08/31 | 213  | 10546639 | 37114-222522 RK | 2631 | \$150.00   | \$59,127.06 |
| 08/31 | 214  | 10546639 | 37114-222522 RK | 2633 | \$100.00   | \$59,027.06 |
| 08/31 | 215  | 10546639 | 37114-222522 RK | 2632 | \$250.00   | \$58,777.06 |
| 08/31 | 216  | 10546639 | 37114-222522 RK | 2615 | \$200.00   | \$58,577.06 |
| 08/31 | 229  | 76573955 | 31929-200773    | 6003 | \$100.00   | \$58,477.06 |
| 08/31 | 282  | 10530517 | 43315-216724    | 8021 | \$40.00    | \$58,437.06 |
| 08/31 | 359  | 10725077 | 19877.0026      | 1501 | \$1,400.00 | \$57,037.06 |
| 08/31 | 360  | 10725077 | 19877.0026      | 1504 | \$300.00   | \$56,737.06 |
| 08/31 | 401  | 10517662 | 31229-211727    | 2617 | \$65.00    | \$56,672.06 |
| 08/31 | 402  | 10517662 | 31229-211727    | 2251 | \$60.00    | \$56,612.06 |

2005

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|   |  |                          |                     |
|---|--|--------------------------|---------------------|
| <b>Effective on 12/08/2004.<br/>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b><br><b>FEE TRANSMITTAL</b><br><b>For FY 2006</b> |  | <b>Complete if Known</b> |                     |
|   |  | Application Number       | 10/517,662          |
|   |  | Filing Date              | August 25, 2005     |
|   |  | First Named Inventor     | Robert H. TILLOTSON |
|   |  | Examiner Name            | To Be Assigned      |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  |  | Art Unit                 | 2875                |
| <b>TOTAL AMOUNT OF PAYMENT</b> (\$ ) - 0 -  |  | Attorney Docket No.      | 31229-211727        |

**METHOD OF PAYMENT** (check all that apply)

|   |                                      |                                      |                               |  |
|---|--------------------------------------|--------------------------------------|-------------------------------|--|
| <input type="checkbox"/> Check  | <input type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order | <input type="checkbox"/> None | <input type="checkbox"/> Other (please print name) |
| <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP |                                      |                                      |                               |  |

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

|  |   |
|--|---|
| <input checked="" type="checkbox"/> Charge fee(s) indicated below  | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s), under 37 CFR 1.16 and 1.17 | <input checked="" type="checkbox"/> Credit any overpayments                       |

**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       |                |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) | Fees Paid (\$) |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

Fee Description

Each claim over 20 (including Reissues)

|                     |                 |
|---------------------|-----------------|
| <u>Small Entity</u> | <u>Fee (\$)</u> |
|                     | 50              |
|                     | 25              |

Each independent claim over 3 (including Reissues)

|                 |     |
|-----------------|-----|
| <u>Fee (\$)</u> | 200 |
|                 | 100 |

Multiple dependent claims

|                 |     |
|-----------------|-----|
| <u>Fee (\$)</u> | 360 |
|                 | 180 |

|                     |                     |                 |                      |
|---------------------|---------------------|-----------------|----------------------|
| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
| - 20 =              | x                   | =               |                      |

HP = highest number of total claims paid for, if greater than 20.

|                                  |                      |
|----------------------------------|----------------------|
| <u>Multiple Dependent Claims</u> |                      |
| <u>Fee (\$)</u>                  | <u>Fee Paid (\$)</u> |
|                                  |                      |

|                      |                     |                 |                      |
|----------------------|---------------------|-----------------|----------------------|
| <u>Indep. Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
| - 3 =                | x                   | =               |                      |

HP = highest number of total claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                     |                     |   |                 |                      |
|---------------------|---------------------|---|-----------------|----------------------|
| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
| - 100 =             | /50                 | (round up to a whole number) x                          | =               |                      |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

**SUBMITTED BY**

|                   |                           |                                      |             |           |                |
|-------------------|---------------------------|--------------------------------------|-------------|-----------|----------------|
| Signature         |                           | Registration No.<br>(Attorney/Agent) | 41,289      | Telephone | (202) 344-4000 |
| Name (Print/Type) | Michael A. Sartori, Ph.D. | Date                                 | May 5, 2006 |           |                |

#748973